
APPENDIX A – PERSONNEL QUALIFICATIONS

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Education

- Ph.D., Anthropology, University of Arizona, Tucson, Arizona, 2003
- M.A., Anthropology, University of Arizona, Tucson, Arizona, 1995
- B. Sc., Geology, (Magna cum Laude), College of William and Mary, Williamsburg, Virginia, 1993

Continuing Education

- Riverside County Archaeology and Cultural Sensitivity Training Program, 2007
- CEQA, Cultural Resources, UCLA Extension, 2006
- SB 18 Consultation, 2005
- Section 106, Principles and Practice, SRI Foundation, 2004

Professional Affiliations

- Society for American Archaeology Government Affairs Committee
- Society for American Archaeology
- Society for Historical Archaeology
- Archaeological Institute of America
- Research Associate, Cotsen Institute of Archaeology, University of California, Los Angeles

Expertise

Marcy Rockman has 14 years of experience in the fields of anthropology and archaeology. Her broad education and professional experience has given her a unique perspective and insight into the history of landscapes and natural resource use, environmental and historical archaeological excavations, geological investigations, mapping and evaluation, archaeology and public policy, and Old World prehistory.

Dr. Rockman is an experienced teacher and has authored or co-authored seven articles for scientific journals and books published domestically and abroad. These publications have included titles such as "Landscape Learning in Relation to Evolutionary Theory" and "Colonization of Unfamiliar Landscapes: Archaeology of Adaptation." Dr. Rockman has more than five years of undergraduate teaching experience including classroom, laboratory, and field instruction.

Experience

Dr. Rockman has extensive research experience in the fields of archaeology and geography. Her international work includes surveyed and test excavations at Late Bronze Age and Early Iron Age settlements in Armenia, a geophysical survey of Roman sites in the Tiber Valley in Italy, and survey and modeling of Late Paleolithic flint use in England and France.

Her field work includes projects in cooperation with the U.S. Army Corps of Engineers, the U.S. Air Force, and local governments. She developed a Geographic Information Systems (GIS)-based landscape use model of archaeological survey data for the Barry M. Goldwater Air Force Range and directed fieldwork and major report preparation for several excavation projects in southern California. She co-authored innovative four-field anthropological studies of ethnicity and group affiliation for repatriation projects pursuant to the Native American Grave Protection and Repatriation Act (NAGPRA). Other technical specialties include historical context development and lithic source analysis. Her regional experience includes a wide range of construction and land management projects across the Western United States including locations in Arizona, Colorado, Utah, Texas and Wyoming, as well as California.

Presentations: Dr. Rockman has presented her research at over 20 scientific conferences in the U.S., Canada, England, and Puerto Rico, and frequently presents her ongoing research in public lectures through the University of California, Los Angeles.



Education

- B.A., Physical Anthropology, University of California, Santa Barbara, California, 2004

Continuing Education

- Riverside County Archaeology and Cultural Sensitivity Training Program, 2007

Professional Affiliations

- Society for American Archaeology
- Society for California Archaeology
- Pacific Coast Archaeological Society
- Orange County Natural History Museum

Expertise

Kyle Garcia has four years of experience in the academic and professional field of archaeology. His coursework and consulting career have given him the opportunity to attain a general knowledge of archaeological resources in coastal, interior and island settings. Mr. Garcia specializes in faunal analysis, a skill resulting from his laboratory work with the University of California, Santa Barbara and the Santa Barbara Museum of Natural History. He has conducted field surveys, including Phase I and II, processed artifacts, managed a laboratory, written technical documents, catalogued data, and digitized field maps.

Experience

Mr. Garcia has conducted a number of Phase I surveys for California Environmental Quality Act compliance during his employment with PCR. His project experience includes the 600-acre Skyline Ranch development in Santa Clarita, a 260-acre project site in Bakersfield, a 30-acre residential development in Indio, a 12-acre residential development site in Palm Desert, and a 1-acre site in Oxnard.

In addition to his Phase I experience, Mr. Garcia has conducted subsurface testing and test excavations. As a student, he conducted subsurface testing on Sedgewick Reserve in Santa Ynez. Professionally, Mr. Garcia conducted a test excavation as a part of the consultation and mitigation of a multi-component village site in Riverside County. As a part of the same village site, Mr. Garcia prepared the technical documentation in accordance with Section 106 of the National Historic Preservation Act.



Education

- B.A., Classical Archaeology, University of California, Santa Barbara, California, 2005
- Archaeological Studies Program, Accent Center, Rome, Italy 2004
- College Year in Athens, Athens, Greece, 2003

Continuing Education

- Riverside County Archaeology and Cultural Sensitivity Training Program, 2007
- 40-Hour HAZWOPER Training, 2007

Professional Affiliations

- Society for American Archaeology

Expertise

Matthew Gonzalez has four years of academic and professional experience in archaeological investigations. Mr. Gonzalez applies his training in the analysis of ancient Greek and Roman antiquities to state-side archaeological investigations. Mr. Gonzalez has considerable experience in both surface reconnaissance and subsurface investigations, as well as artifact analysis and classifications, specifically faunal and lithic analysis.

Experience

General Experience: Mr. Gonzalez has experience in several aspects of archaeological investigations. He has participated in surveying, mapping, excavating, wet/dry screening site unit recording, and soil analysis. He has applied these skills in projects necessitating Phase I and II data recovery in California and Arizona. In addition to archaeological analysis, he is also skilled in the application of Geographic Information Systems/ Global Positioning Systems to facilitate field investigations. He uses ArcView to develop field maps useful to plot artifacts and survey sites.

In addition to his field work, Mr. Gonzalez has hands-on laboratory experience working both for PCR and the Archaeology department at the University of California, Santa Barbara. His laboratory experience includes processing archaeological collections including cleaning, sorting, cataloging archiving/preserving, fossil analysis, and drawing specimens associated with the Chumash site SBA 3737 in Santa Ynez Valley. Mr. Gonzalez regularly performs record searches, mapping, and digitizing for projects. He is also skilled in report, proposal, and Native American letter writing, and client coordination.

Specific Project Experience: Due to his cross-training as an archaeologist and paleontologist, Mr. Gonzalez has performed archaeological and paleontological surveys on a number of projects throughout southern California and Arizona. He assisted in the archaeological and paleontological surveys, site recording, and excavation for two large-scale projects including the 3,000-acre Heritage Fields Great Park in Irvine, California and for approximately 10,000 acres of the 19,000-acre La Osa Ranch in Pinal County, Arizona. Additional projects include a paleontological survey for the Del Mar Hilton Gardens Inn project site in San Diego; archaeological/paleontological surveys for the 30-acre Diamond Bar Site D project; surveying and site recording for the Lytle Creek and Nuevo Road developments in San Bernardino and Riverside counties, respectively; two confidential projects involving resource exploration and a mixed-use recreational development; and archaeological/paleontological surveys for a number of Southern California Edison projects.

In addition to surveys, Mr. Gonzalez has also conducted mitigation and construction monitoring for several projects. He conducted archaeological surveys, recording, excavations, artifact processing, and construction monitoring to achieve mitigation compliance for a KB Home residential development in Riverside County, California. Mr. Gonzalez also provided archaeological and paleontological monitoring for the Heritage Fields Great Park in addition to his survey work. His additional archaeological/paleontological monitoring projects include Building 319 of the Second Harvest Food Bank on the former El Toro Marine Corps Air Station; a John Laing Homes Newland Street development in Huntington Beach; the 16-acre Rossmore development in Redlands; and a number of Southern California Edison projects.



Education

- Ph.D., Systematics & Ecology, University of Kansas, Lawrence, Kansas, 1984
- M.A., Systematics and Ecology, University of Kansas, Lawrence, Kansas, 1979
- B.A. Degree, Biology, University of Kansas, Lawrence, Kansas, 1974

Professional Affiliations

- Society of Vertebrate Paleontology
- Research Associate, Natural History Museum of Los Angeles County

Expertise

J. D. Stewart has 30 years of experience in the field of paleontology. He has authored or co-authored 33 peer-reviewed articles for scientific journals and books. Within these, he has authored or co-authored descriptions of three new genera and three new species.

He is a recognized authority on fossil fishes of Cretaceous rocks of North America and Cenozoic rocks of the western coast of North America. As a result, Dr. Stewart is often called upon to identify paleontological and archaeological specimens. He has served as expert witness for the U.S. Department of Justice.

Experience

Dr. Stewart has extensive experience finding and excavating fossils for county, state, and provincial institutions. His field work includes projects in cooperation with the U.S. Bureau of Land Management, U.S. Army Corps of Engineers, U.S. Navy, U. S. Department of Energy, and California State Parks. The Bureau of Land Management's national website features one of his excavations from 2003. He has monitored construction activity in numerous counties and municipalities. In addition to fieldwork, he has experience in the supervision of preparators, curatorial assistants, and excavators. He also has extensive experience preparing fossils, and has processed and recovered thousands of vertebrate microfossils.

Dr. Stewart has authored and co-authored many paleontological assessment documents and California Environmental Quality Act-mandated monitoring and mitigation reports. He was the in-house curator for several major exhibits at the Natural History Museum of Los Angeles County, and helped develop two exhibits that toured the nation.

His project experience includes paleontological monitoring of the construction of Path 15, an 80-mile, high-voltage transmission line in the San Joaquin Valley. Dr. Stewart's team located an extensive bonebed in Middle Miocene sediments, dating back approximately 15 million years. In addition, Dr. Stewart and his team discovered over 1,200 vertebrate fossils, retrieved an extensive sampling and preserved the site for future research. As a result of his diligent analysis, the project schedule was maintained and there were no delays in construction.

Dr. Stewart conducted Phase I and II paleontological assessments at the Heritage Fields Great Park in Orange County, California where he and his team discovered significant portions of a Miocene-aged (5-8 million years ago) whale fossil. The fossil excavation will bring to light additional information that will allow reconstruction of the paleoenvironment of the Irvine region.



**APPENDIX B – CULTURAL AND PALEONTOLOGICAL RESOURCES
RECORDS SEARCH RESULTS**

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South Central Coastal Information Center
California Historical Resources Information System
California State University, Fullerton
Department of Anthropology
800 North State College Boulevard
Fullerton, CA 92834-6846
714.278.5395 / FAX 714.278.5542
anthro.fullerton.edu/sccic.html - sccic@fullerton.edu

Ventura
Los Angeles
Orange

October 25, 2007

SCCIC # 8010.5092

Mr. Kyle Garcia
PCR
One Venture
Suite 150
Irvine, CA 92618
(949) 753-7001

RE: Records Search for Diamond Bar-Site D Project located in the City of Diamond Bar, California

Dear Mr. Garcia,

As per your request received on October 8, 2007, a records search was conducted for the above referenced project. The search includes a review of all recorded archaeological sites within a 1/2-mile radius of the project site as well as a review of cultural resource reports on file. In addition, the California Points of Historical Interest (PHI), the California Historical Landmarks (CHL), the California Register of Historical Resources (CR), the National Register of Historic Places (NR), and the California State Historic Resources Inventory (HRI) listings were reviewed for the above referenced project. The following is a discussion of the findings.

Yorba Linda, CA. USGS 7.5' Quadrangle

ARCHAEOLOGICAL RESOURCES:

No archaeological sites have been identified within a 1/2-mile radius of the project site. No archaeological sites are located within the project site. No isolates have been identified within a 1/2-mile radius of the project site. No isolates are located within the project site.

HISTORIC RESOURCES:

Copies of our historic maps – Anaheim (1896 and 1942) 15' USGS and La Brea (1928) 6' USGS - are enclosed for your review.

The California Point of Historical Interest (2007) of the Office of Historic Preservation, Department of Parks and Recreation, lists no properties within a 1/2-mile radius of the project site.

The California Historical Landmarks (2007) of the Office of Historic Preservation, Department of Parks and Recreation, lists no properties within a ½-mile radius of the project site.

The California Register of Historic Places (2007) lists no properties within a ½-mile radius of the project site. These are properties determined to have a National Register of Historic Places Status of 1 or 2, a California Historical Landmark numbering 770 and higher, or a Point of Historical Interest listed after 1/1/1998.

The National Register of Historic Places (2007) lists no properties within a ½-mile radius of the project site.

The California Historic Resources Inventory (2007) lists no properties that have been evaluated for historical significance within a ½-mile radius of the project site.

PREVIOUS CULTURAL RESOURCES INVESTIGATIONS:

Six studies (LA655, LA2071, LA2087, LA2435, LA6108, and LA8249) have been conducted within a ½-mile radius of the project site. Of these, none are located within the project site. There are eight additional investigations located on the Yorba Linda 7.5' USGS Quadrangle that are potentially within a ½-mile radius of the project site. These reports are not mapped due to insufficient locational information.

Please forward a copy of any reports from this project to the office as soon as possible. Due to the sensitive nature of archaeological site location data, we ask that you **do not include** records search maps in your report. If you have any questions regarding the results presented herein, contact the office at 714.278.5395 Monday through Thursday 8:00 am to 3:30 pm.

Should you require any additional information for the above referenced project, reference the SCCIC number listed above when making inquiries. Requests made after initial invoicing will result in the preparation of a separate invoice.

Sincerely,
SCCIC



Thomas David Shackford
Lead Staff Researcher

Enclosures:

- (X) Maps – Yorba Linda 7.5' USGS Quadrangle, Anaheim 15' USGS Quadrangle, La Brea 6' USGS Quadrangle – 8 pages
- (X) Bibliography – 1 page
- (X) Survey Reports – (LA655, LA2071, LA2087, LA2435, and LA6108) – 39 pages
- (X) Confidentiality Form
- (X) Invoice # 8010.5092

Bibliography: Diamond Bar-Site D Project

IC ID#: LA2071

DATE: 1977

PAGES: 10

AUTHOR: Anonymous

FIRM: Ultrasystems, Inc.

TITLE: Draft Environmental Impact Report Tentative Tract 33104 Arciero and Sons Inc.

AREA: 30 ac

SITES: None

QUADNAME: Yorba Linda

MEMO:

IC ID#: LA2087

DATE: 1976

PAGES: 25

AUTHOR: D'altroy, Terence N.

FIRM:

TITLE: Draft Environmental Impact Report Diamond Bar Mountin Village Diamond Bar, California

AREA: 30 ac

SITES: None

QUADNAME: Yorba Linda

MEMO:

IC ID#: LA2435

DATE: 1984

PAGES: 10

AUTHOR: Padon, Beth

FIRM: LSA Associates, Inc.

TITLE: Assessment of Archaeological Resources Diamond Bar Project Los Angeles County, California

AREA:

SITES: None

QUADNAME: Yorba Linda

MEMO:

Bibliography: Diamond Bar-Site D Project

IC ID#: LA655 **DATE:** 1979 **PAGES:** 4

AUTHOR: Cooley, Theodore

FIRM: Archaeological RESOURCE MANAGEMENT CORP.

TITLE: Archaeological Resources Survey ConDUCTED for A 280 ACRE Tract
in the CITY of DIAMOND BAR, L. A. COUNTY, CALIforNIA

AREA: 280 ac

SITES: none

QUADNAME: Yorba Linda

MEMO:

IC ID#: LA6108 **DATE:** 2002 **PAGES:** 35

AUTHOR: Tartaglia, Louis James

FIRM: Louis James Tartaglia Archaeological Consultant

TITLE: Cultural Resources Survey Report Parcel 4 of Parcel Map No. 7409 Diamond Bar, California

AREA: 13

SITES: none

QUADNAME: Yorba Linda

MEMO: Only 40% surveyed due to steep slopes

IC ID#: LA8249 **DATE:** 2002 **PAGES:** 21

AUTHOR: Peterson, Patricia A.

FIRM: Chambers Group, Inc.

TITLE: Cultural Resources Records Search and Survey Report for the Reclaimed Water Backbone
Transmission Project, Los Angeles County, California

AREA: 105 li mi

SITES: see page 6 and 7

QUADNAME: San Dimas, El Monte, Baldwin Park, Yorba Linda, La Habra

MEMO:

14 October 2007

Planning Consultants Research
One Venture, Suite 150
Irvine, CA 92618

Attn: Kyle H. Garcia, Associate Archaeologist

re: Paleontological Records Search for the Diamond Bar Site-D development, in the City of
Diamond Bard, Los Angeles County, project area

Dear Kyle:

I have conducted a thorough search of our Vertebrate Paleontology records for the Diamond Bar Site-D development, in the City of Diamond Bard, Los Angeles County, project area as outlined on the section of the Yorba Linda USGS topographic quadrangle map that you sent to me via e-mail on 8 October 2007. We do not have any vertebrate fossil localities that lie directly within the proposed project area, but we do have vertebrate fossil localities nearby from the same sedimentary deposits that occur in the proposed project area.

Surficial deposits in the drainage that runs through the central portion of the proposed project area consist of younger Quaternary Alluvium. These younger Quaternary deposits typically do not contain significant vertebrate fossils, at least in the uppermost layers, and we have no vertebrate fossil localities anywhere nearby from such deposits. The remainder of the proposed project area, the elevated portions, has exposures of the marine late Miocene Puente Formation (also referred to as the Monterey Formation in this area).

Our closest vertebrate fossil localities in the Puente Formation, LACM 5837, 6170, 6907-6908, and 7046, are situated in or north of Rowland Heights west-northwest to northwest of the proposed project area. These localities have produced a rich suite of fossil marine vertebrates including bonito shark, *Isurus oxyrinchus*, top smelts, *Atherinops barkeri* and *Atherinopsis*, sauries, Scomberesocidae, herrings, *Etringus scintillans* and *Ganolytes cameo*, cod, *Eclipes*, anglerfish, *Acentrophryne longidens*, lanternfish, Myctophidae, jack, *Decapterus*, snake mackerel, *Thyrsocles kriegeri*, croakers, *Seriphus lavenbergi* and *Lompoquia*, sanddab, Pleuronectiformes, deep sea smelt, Bathylagidae, viperfish, *Chauliodus eximius*, bristlemouth, *Cyclothone*, pipefish,

Syngnathus emeritus, and whale, Cetacea. The fossil pipefish, *Syngnathus emeritus*, from locality LACM 7046 were published in the scientific literature by R. A. Fritzsche in 1980 (Revision of the eastern Pacific Syngnathidae (Pisces: Syngnathiformes), including both Recent and fossil forms. Proceedings of the California Academy of Science, 42(6):181-227). The fossil anglerfish, *Acentrophryne longidens*, from locality LACM 6908 was figured in the scientific literature by T. W. Pietsch and R. J. Lavenberg in 1980 (A fossil ceratoid anglerfish from the Late Miocene of California. Copeia, 1980(4):906-908). The fossil croaker, *Seriphus lavenbergi*, from locality LACM 6907 is a holotype (specimen that is used to describe a species new to science) described by R. W. Huddleston and G. T. Takeuchi in 2006 (A New Late Miocene Species of Sciaenid Fish, Based Primarily on an in situ Otolith from California. Bulletin of the Southern California Academy of Sciences, 105(1):30-42).

Shallow excavations in the younger Quaternary Alluvium in the drainage in the proposed project area are unlikely to encounter significant vertebrate fossils. Deeper excavations in that portion of the proposed project area that extend down into the bedrock marine deposits of the late Miocene Puente Formation, as well as any excavations in the Puente Formation deposits found in the elevated portions of the proposed project area, would most likely uncover significant fossil vertebrate remains, and thus the paleontological sensitivity of the proposed project areas is rated high. Therefore, any substantial excavations in the proposed project area should be closely monitored to quickly and professionally collect any vertebrate fossil remains without impeding development. Any fossils recovered during mitigation should be deposited in an accredited and permanent scientific institution for the benefit of current and future generations.

This records search covers only the vertebrate paleontology records of the Natural History Museum of Los Angeles County. It is not intended to be a thorough paleontological survey of the proposed project area covering other institutional records, a literature survey, or any potential on-site survey.

Sincerely,



Samuel A. McLeod, Ph.D.
Vertebrate Paleontology

enclosure: invoice

**APPENDIX C – NATIVE AMERICAN HERITAGE COMMISSION SACRED LANDS
FILE RECORD SEARCH RESULTS**

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STATE OF CALIFORNIA

Arnold Schwarzenegger, Governor

NATIVE AMERICAN HERITAGE COMMISSION

915 CAPITOL MALL, ROOM 364
SACRAMENTO, CA 95814
(916) 653-6251
Fax (916) 657-5390
Web Site www.nahc.ca.gov
e-mail: ds_nahc@pacbell.net



October 10, 2007

Mr. Kyle Garcia, Associate Archaeologist
PCR SERVICES CORPORATION
One Venture, Suite 150
Irvine, CA 92618

Sent by FAX to: 949-753-7002
Number of pages: 2

Re: Cultural Resource Identification Study/Sacred Lands File Search for Site D Project; City of Diamond Bar, Los Angeles County, California

Dear Mr. Garcia:

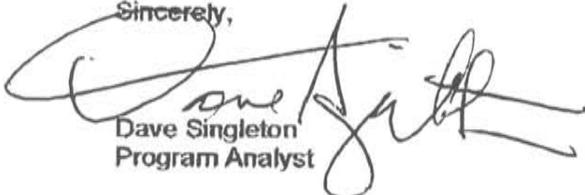
The Native American Heritage Commission was able to perform a record search of its Sacred Lands File (SLF) for the affected project area. The SLF failed to indicate the presence of Native American cultural resources in the immediate project area. The absence of specific site information in the Sacred Lands File does not guarantee the absence of cultural resources in any 'area of potential effect (APE).'

Early consultation with Native American tribes in your area is the best way to avoid unanticipated discoveries once a project is underway. Enclosed are the nearest tribes that may have knowledge of cultural resources in the project area. A List of Native American contacts are attached to assist you. The Commission makes no recommendation of a single individual or group over another. It is advisable to contact the person listed; if they cannot supply you with specific information about the impact on cultural resources, they may be able to refer you to another tribe or person knowledgeable of the cultural resources in or near the affected project area (APE).

Lack of surface evidence of archeological resources does not preclude the existence of archeological resources. Lead agencies should consider avoidance, as defined in Section 15370 of the California Environmental Quality Act (CEQA) when significant cultural resources could be affected by a project. Also, Public Resources Code Section 5097.98 and Health & Safety Code Section 7050.5 provide for provisions for accidentally discovered archeological resources during construction and mandate the processes to be followed in the event of an accidental discovery of any human remains in a project location other than a 'dedicated cemetery. Discussion of these should be included in your environmental documents, as appropriate.

If you have any questions about this response to your request, please do not hesitate to contact me at (916) 653-6251.

Sincerely,


Dave Singleton
Program Analyst

Attachment: Native American Contact List

Native American Contacts
Los Angeles County
October 10, 2007

LA City/County Native American Indian Comm
 Ron Andrade, Director
 3175 West 6th Street, Rm. 403
 Los Angeles , CA 90020
 (213) 351-5324
 (213) 386-3995 FAX

Gabrielino/Tongva Council / Gabrielino Tongva Nation
 Sam Dunlap, Tribal Secretary
 761 Terminal Street; Bldg 1, 2nd floor Gabrielino Tongva
 Los Angeles , CA 90021
 office @tongvatribes.net
 (213) 489-5001 - Officer
 (909) 262-9351 - cell
 (213) 489-5002 Fax

Ti'At Society
 Cindi Alvitre
 6602 Zelzah Avenue Gabrielino
 Reseda , CA 91335
 calvitre@yahoo.com
 (714) 504-2468 Cell

Gabrielino Band of Mission Indians of CA
 Ms. Susan Frank
 PO Box 3021 Gabrielino
 Beaumont , CA 92223
 (951) 897-2536 Phone/Fax

Tongva Ancestral Territorial Tribal Nation
 John Tommy Rosas, Tribal Administrator
 4712 Admiralty Way, Suite 172 Gabrielino Tongva
 Marina Del Rey , CA 90292
 310-570-6567

Gabrielino Tongva Indians of California Tribal Council
 Robert Dorame, Tribal Chair/Cultural Resources
 5450 Slauson, Ave, Suite 151 PMB Gabrielino Tongva
 Culver City , CA 90230
 gtongva@verizon.net
 562-761-6417 - voice
 562-920-9449 - fax

Gabrielino/Tongva Tribal Council
 Anthony Morales, Chairperson
 PO Box 693 Gabrielino Tongva
 San Gabriel , CA 91778
 ChiefRBwife@aol.com
 (626) 286-1632
 (626) 286-1758 - Home
 (626) 286-1262 Fax

Gabrielino Tongva Indians of California Tribal Council
 Mercedes Dorame, Tribal Administrator
 PO Box 590809 Gabrielino Tongva
 San Francisco , CA 94159
 Pluto05@hotmail.com

This list is current only as of the date of this document.

Distribution of this list does not relieve any person of statutory responsibility as defined in Section 7050.5 of the Health and Safety Code, Section 5097.94 of the Public Resources Code and Section 5097.96 of the Public Resources Code.

This list is only applicable for contacting local Native American with regard to cultural resources for the proposed Diamond Bar Site D Project; located in southeastern Los Angeles County, California for which a Sacred Lands File search and Native American Contact list was requested.

APPENDIX D – DPR ARCHAEOLOGICAL SITE FORM: SD-CULTURAL-1

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Environmental Impact Sciences

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